



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

FILING DATE APPLICATION NO. ATTORNEY DOCKET NO. FIRST NAMED INVENTOR CONFIRMATION NO. 10/039,952 10/24/2001 J. David Rozzell JR. 47418/KMO/B583 1571 EXAMINER 7590 08/27/2004 CHRISTIE, PARKER & HALE, LLP GITOMER, RALPH J 350 WEST COLORADO BOULEVARD SUITE 500 ART UNIT PAPER NUMBER PASADENA, CA 91105 1651

DATE MAILED: 08/27/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

|   | Application No.  | Applicant(s)      |
|---|--|-------------------|
| Office Action Summary   | 10/039,952   | ROZZELL, J. DAVID |
|   | Examiner   | Art Unit          |
|   | Ralph Gitomer  | 1651              |
| The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply  |  |                   |
| A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 1 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).  Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). |  |                   |
| Status  | ٠,   | •                 |
| 1) Responsive to communication(s) filed on 24 Oc  | ctober 2001.   | >                 |
| 2a) This action is <b>FINAL</b> . 2b) ⊠ This action is non-final.   |  |                   |
| 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is  |  |                   |
| closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.   |  |                   |
| Disposition of Claims   |  |                   |
| <ul> <li>4) Claim(s) 1-30 is/are pending in the application.</li> <li>4a) Of the above claim(s) is/are withdrawn from consideration.</li> <li>5) Claim(s) is/are allowed.</li> <li>6) Claim(s) is/are rejected.</li> <li>7) Claim(s) is/are objected to.</li> <li>8) Claim(s) 1-30 are subject to restriction and/or election requirement.</li> </ul>   |  |                   |
| Application Papers  |  |                   |
| <ul> <li>9) The specification is objected to by the Examiner.</li> <li>10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.  Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).</li> <li>11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.</li> </ul>   |  |                   |
| Priority under 35 U.S.C. § 119  |  |                   |
| <ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No.</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>  |  |                   |
| Attachment(s)   |  |                   |
| <ol> <li>Notice of References Cited (PTO-892)</li> <li>Notice of Draftsperson's Patent Drawing Review (PTO-948)</li> <li>Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)         Paper No(s)/Mail Date     </li> </ol>  | 4) Interview Summary (Paper No(s)/Mail Da 5) Notice of Informal Pa 6) Other: | •                 |

Application/Control Number: 10/039,952

Art Unit: 1651

Restriction to one of the following inventions is required under 35 U.S.C. 121:

I. Claims 1-17, drawn to a method of producing an amino acid, classified in class 435, subclass 25.

- II. Claims 18-25, drawn to a method of producing an amine, classified in class 435, subclass 25.
- III. Claims 26-30, drawn to a method of producing an alcohol, classified in class 435, subclass 25.

The inventions are distinct, each from the other because:

Inventions I, II and III are unrelated. Inventions are unrelated if it can be shown that they are not disclosed as capable of use together and they have different modes of operation, different functions, or different effects (MPEP § 806.04, MPEP § 808.01). In the instant case the different inventions produce different types of products with different types of enzymes and in the case of Group I vs. Groups II and III employ different types of starting materials.

Because these inventions are distinct for the reasons given above and have acquired a separate status in the art because of their recognized divergent subject matter, restriction for examination purposes as indicated is proper.

Applicant is advised that the reply to this requirement to be complete must include an election of the invention to be examined even though the requirement be traversed (37 CFR 1.143).

Art Unit: 1651

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Ralph Gitomer whose telephone number is (571) 272-0916. The examiner can normally be reached on Monday - Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Michael Wityshyn can be reached on (571) 272-0926. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Ralph Gitomer Primary Examiner Art Unit 1651

1 Callone

RALPH GITOMER PRIMARY EXAMINER GROUP 1200